

1 **Overview on biofuels from a European perspective**

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3 **Running title:** European perspective on biofuels

4
5 Luigi Ponti^{1,2,*}, Andrew Paul Gutierrez^{2,3}

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7
8 ¹ ENEA, Dipartimento BAS, Gruppo “Lotta alla Desertificazione”, S.P. Anguillarese 301,
9 00123 S. Maria di Galeria (Roma), Italy

10
11 ² Center for the Analysis of Sustainable Agricultural Systems (CASAS), Kensington, CA
12 94707, USA (<http://cnr.berkeley.edu/casas>)

13
14 ³ Division of Ecosystem Science, Department of Environmental Science, Policy &
15 Management, University of California, Berkeley, CA 94720, USA

16
17 * Corresponding author: Phone: +39-338-984-4677; Fax: +39-1782257171; Email:
18 quartese@gmail.com

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24 **Abstract**

25 In light of the recently developed European Union (EU) Biofuels Strategy, the
26 literature is reviewed to examine: (1) the coherency of biofuel production with the EU non-
27 industrial vision of agriculture, and (2) given its insufficient landbase, the implications of a
28 proposed bio-energy pact to grow biofuel crops in the developing world to meet EU biofuel
29 demands. The EU acknowledged that the use of food crops for biofuel production was based
30 on wrong assumptions concerning climate change mitigation, and its support has now shifted
31 to second generation nonfood crops. The bio-energy pact entails: (1) biofuel crops production
32 in developing countries, especially Africa that in the absence of environmental and social
33 regulations may lead to ethical trade-offs in land use (food vs. fuel); and (2) the use of
34 transgenic technology that conflicts with the EU's own vision of sustainable agriculture.

35 **Key words:** Bioenergy crops, European Union, energy policy, cellulosic biomass,
36 biopact, transgenic crops, land use change, ecosocial impacts.

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38 **Brief biography of authors**

39 Luigi Ponti is a Marie Curie Fellow at ENEA, Rome (Italy) where he received an
40 International Reintegration Grant from the European Union following his postdoctoral tenure
41 at the University of California, Berkeley.

42 Andrew Paul Gutierrez is Professor of Ecosystem Science at the University of
43 California at Berkeley.

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45 Introduction

46 Global production of biofuels (i.e. transportation fuels¹ derived from biomass) has
47 tripled from 18.1 billion liters in 2000 to about 60.5 billion liters in 2007, 90% of which are
48 produced in the United States (US; 43%), Brazil (32%), and the European Union (EU; 15%)
49 (see Tab. 1) (Coyle, 2007). This rapid growth has been driven by high petroleum prices and
50 favorable government policies (Kammen et al., 2008). At present, the predominant biofuels in
51 use are ethanol and biodiesel, but combined they account for only 2% of global road transport
52 fuel use (85% ethanol and 15% biodiesel) (Doornbosch & Steenblik, 2007; de Fraiture et al.,
53 2008). Most of the world's biomass-derived ethanol is produced in the US (primarily from
54 corn) and in Brazil (from sugarcane), while the EU is the world leader in biodiesel production
55 (~90% from rapeseed) (Kutas, Lindberg, & Steenblik, 2007; REN21, 2008) (Tab. 1; see also
56 Tab 2 for feedstocks used in biofuel production). Most of the EU biodiesel production (81%)
57 is concentrated in four countries: Germany (54%), France (14%), Italy (9%) and the United
58 Kingdom (4%). The EU produces less ethanol than biodiesel ranking third, behind Brazil and
59 US (see Tab. 1) with more than four-fifth of the production concentrated in Germany (38%),
60 Spain (35%) and France (26%). Consistent with global trends, biofuels represent only 2.6% of
61 the energy content of all the fuels used in road transport in Europe today (Observ'ER, 2008).

62 Recently, policy support for biofuels worldwide has greatly increased based on the
63 assumption that biofuels would not increase the concentration of greenhouse gases, and this
64 has led to mandates for blending biofuels into vehicle fuels that have been enacted over the
65 past 2-3 years in at least 36 states/provinces and 17 countries at the national level. Most
66 mandates require blends of 10-15% ethanol with gasoline and 2-5% biodiesel with diesel fuel
67 (Tab. 2) (REN21, 2008). The EU's Directive 2003/30/EC (European Parliament and Council,
68 2003) requires a minimum of 2% biofuel in road transport fuels beginning 2005. The same
69 directive sets a 5.75% target for 2010, with a projected 10% goal by 2020 (Halleux, Lassaux,
70 Renzoni, & Germain, 2008). Because less than a half of the 5.75% target has been reached so
71 far, the EU will have to increase its production and/or focus even more on imports to meet

¹ Biofuels are typically mixed with conventional car fuel gasoline and diesel, with blends varying between a few percent of biofuel to nearly 25% in Brazil. Note there is a difference between the broad term bio-energy used in households, transport and industry and the much more limited term "biofuels" used as transport fuels for cars, buses and trucks. (de Fraiture, Giordano, & Liao, 2008).

72 this goal. This is occurring at a time when biofuels are at the core of complex ecological and
73 economic controversy (Observ'ER, 2008). However, concerns of economic sustainability and
74 environmental impacts of biofuels are severe enough that the European parliament is
75 considering a moratorium on biofuels (Ruth, 2008), while the UK parliament has strongly
76 advised the government to adopt a moratorium on biofuel targets (UK House of Commons,
77 2008). A report from the Royal Society points out that governments have worried too much
78 about setting policy targets for biofuels substitution of fossil fuels without proper
79 consideration of biofuel's sustainability (The Royal Society, 2008).

80 Because there is insufficient land base in the EU and in many developed countries to
81 meet current biofuel goals, this has led to the idea of a bio-energy pact (i.e. biopact) between
82 the developed North and the developing South as a source for the biofuel shortfall (Mathews,
83 2007). Specifically, the bioenergy pact between Europe and Africa proposes to grow biofuel
84 crops most notably in sub-Saharan Africa to meet European demands (Biopact,
85 <http://www.biopact.com>). This approach was adopted by the EU Biofuels Strategy (European
86 Commission, 2007b) to help meet the 2010 target of 5.75% market share for biofuels in the
87 overall transport fuel supply.

88 Our paper reviews the pertinent recent literature in the light of this recently adopted
89 Biofuels Strategy to determine if biofuels are coherent with the EU non-industrial vision of
90 agriculture, and in the process to discuss the global implications of the proposed EU-Africa
91 biopact. A similar policy analysis was made concerning transgenic crop policy in the EU
92 (Ponti, 2005)

93

94 **A new generation of bioenergy crops**

95 Industrialized economies with biofuels targets, such as those in the US and EU, are
96 unlikely to have the land base needed to meet their growing demand for biofuels (Hill,
97 Nelson, Tilman, Polasky, & Tiffany, 2006; Gibbs et al., 2008), and this drastically reduces the
98 chances that current food-based biofuels can be used sustainably as an abundant and
99 environmentally beneficial energy source (Tilman, Hill, & Lehman, 2006). In the US and
100 Italy, for example, more arable land than is available would be required for a 15% blend of
101 fuels (Gressel, 2008; Russi, 2008). In the United Kingdom, even if all existing sugar beet and
102 oilseed rape production was used by the biofuel industry, an increase in land area devoted to
103 sugar beet and oilseed rape of 178% and 89% respectively would be required to meet the EU

104 2010 target of a 5.75% fuel blend (Mattison & Norris, 2007). A 10% substitution of gasoline
105 and diesel fuel is estimated to require 43% and 38% of current cropland area in the US and
106 Europe, respectively (Righelato & Spracklen, 2007). These and other examples make clear the
107 International Energy Agency (IEA) open statement that “if the United States and Europe are
108 serious about biofuels, they must turn to the South for their supplies” (Mathews, 2007).
109 However, the expansion of current biodiesel crops globally will eventually impact global food
110 supplies and in the long-term the sustainability of agriculture production (Johnston &
111 Holloway, 2007). Moreover, growing food crops in the South for the energy needs of the
112 North would force developing countries to choose between farming for food or for energy,
113 and this would threaten their food security.

114 Convinced that “[they]’re going to do much better this time” (Waltz, 2007),
115 biotechnologists are proposing a new generation of nonfood more efficient bioenergy crops to
116 make the impact of biofuels on food security and land requirements less problematic. The cost
117 of rapeseed biodiesel and ethanol from cereals or beets (“first generation” biofuels; see Fig. 1)
118 is much higher than that of gasoline and diesel, and hence substantial subsidies have been
119 required to make them competitive (Hamelinck & Faaij, 2006). In contrast, “second
120 generation” cellulosic biofuels (Fig. 1; see Tab. 3) under development are expected to be
121 cheaper. First generation biofuels are inefficient and costly because only a fraction of the
122 plant is actually converted to fuel (e.g. corn grains), while second generation biofuels can be
123 obtained from the whole plant using the cellulose that make up most of the plants structure
124 (Somerville, 2007). However, the development of transgenic cellulosic biofuel crops is seen
125 as imperative if second generation biofuels are to become economically viable (Gressel,
126 2008). For example, perennial grasses such as switchgrass (*Panicum virgatum*) and
127 *Miscanthus* need to be genetically engineered for lower lignin content in order to increase
128 cellulose availability to hydrolytic enzymes, but this as well as many other desirable traits are
129 not easily approached even through genetic engineering (Karp & Shield, 2008). Furthermore,
130 the use of transgenic crops as a source of biofuels has the potential to spur unprecedented
131 controversy (Stewart, 2007) fueled by the nexus of these two hotly debated topics: transgenics
132 and biofuels.

133

134 **The European biofuels strategy**

135 In 2003, an EU Biofuels Directive on the promotion of the use of biofuels and other
136 renewable fuels for transport (European Parliament and Council, 2003) set out indicative
137 targets for EU member states. To help meet these targets, an EU Biofuels Strategy was
138 adopted (European Commission, 2007b) consisting of seven policy actions for biofuels: (1)
139 stimulate demand; (2) capture environmental benefits; (3) develop production and distribution
140 capabilities; (4) expand feedstock supplies; (5) enhance trade opportunities; (6) support
141 developing countries; (7) support research and development. According to this Biofuels
142 Strategy, the EU supports biofuels with the objectives of reducing greenhouse gas emissions,
143 decreasing reliance on oil imports, and fostering rural development, and in addition seeks to
144 expand the geographic extent of the benefits by also promoting biofuels in developing
145 countries.

146 Although most studies have found that replacing gasoline with biofuels modestly
147 reduces greenhouse gases due to carbon sequestration in the bioenergy crop (Farrell et al.,
148 2006; Sims, Hastings, Schlamadinger, Taylor, & Smith, 2006; Wang, 2006; Goldemberg,
149 2008; Kammen et al., 2008), these analyses usually fail to consider the carbon emissions
150 associated with land-use change. Using a worldwide agricultural model to estimate emissions
151 from land-use change, Searchinger and colleagues (2008) show that instead of producing a
152 20% reduction, corn-based ethanol nearly doubles greenhouse emissions over 30 years and
153 increases greenhouse gases for 167 years. Fargione, Hill, Tilman, Polasky, & Hawthorne
154 (2008) found that land-use change associated with food crop-based biofuels creates a “biofuel
155 carbon debt” of CO₂ emissions 17 to 420 times greater than the fossil fuels they replace.
156 Based on a new geographically detailed database of crop locations and yields, Gibbs et al.
157 (2008) provide estimates of the “carbon payback time” defined as the years it takes for the
158 biofuel carbon savings from avoided fossil fuel combustion to offset the carbon losses
159 associated with land-use change. They suggest that under current conditions, the expansion of
160 biofuels into productive tropical ecosystems causes net increases in carbon emissions for
161 decades to centuries.

162 On the other hand, Searchinger et al. (2008) suggest that second generation cellulosic
163 biofuels increase emissions by 50% if grown on US corn lands, whereas Fargione et al.
164 (2008) claim that if grown on degraded and abandoned agricultural land, little or no carbon
165 debt is incurred. However, Gibbs et al. (2008) warn that the deployment of second generation

166 biofuels requires technology that has not been implemented, and therefore only the carbon
167 payback times under *current* conditions should be considered in policy decisions. Although a
168 modeling study found that a policy aimed at a reduction of CO₂ in the atmosphere via large
169 scale use of biofuels has a relatively low cost (Korobeinikov, Read, Parshotam, & Lermitt,
170 2006), others feel there are much better ways policy makers may use to mitigate global
171 warming as outlined below (Righelato & Spracklen, 2007).

172 In their environmental policy essay, Righelato & Spracklen (2007) argue that if the
173 prime objective of policy on biofuels is mitigation of global warming via reduction of
174 greenhouse gas emissions, policy makers should concentrate in the short term (30 years or so)
175 on increasing the efficiency of fossil fuel use, conserving the existing forests and savannahs,
176 and restoring natural forest and grassland habitats on cropland not needed for food for carbon
177 sequestration. In addition, restoration of large areas of secondary forest provide other
178 environmental services such as prevention of desertification, provision of forest products,
179 maintenance of biological diversity, and regional climate regulation, whereas large scale
180 deployment of biofuel crops may place additional strains on the environment. Because the
181 global potential for biomass energy production can replace no more than a few percent of
182 current fossil fuel usage, large-scale biomass energy production would likely exacerbate
183 forcing factors of climate change and threaten food security (Field, Campbell, & Lobell,
184 2008) and would do little to reduce reliance on oil imports. Furthermore, Frondel & Peters
185 (2007) demonstrate that biofuels in Europe are not a cost-efficient emission abatement
186 strategy and suggest a number of less expensive alternatives such as enhancing the efficiency
187 of conventional power plants.

188 Another reason the EU promotes biofuels is to spur rural development, but to do this,
189 the bioenergy crops must be grown domestically. European agriculture is not profitable and is
190 heavily subsidized (ca. 46% of the total EU budget) to maintain its multi-functions for society
191 including conservation of landscape and biodiversity as well as food production (Russi,
192 2008). Russi (2008) also points out that while subsidizing farming for biofuel production
193 might support European agriculture without interfering with the international food market,
194 other strategies like promoting organic agriculture are far better suited to foster rural
195 development. In her assessment of biodiesel production in Italy, Russi argues that contrary to
196 intensive large-scale monoculture typically required for biofuels, organic agriculture tends to
197 be smaller scale and can exert multiple positive functions such as reduction of human pressure
198 on local ecosystems, conservation of soil fertility, production of healthier and better-tasting

199 food, together with an overall increase in energy efficiency. Moreover, organic farming has
200 been shown to strengthen eco-social resilience in rural communities (Milestad & Hadatsch,
201 2003) that is a key factor for survival amidst global change.

202 Currently, a framework of economic incentives must be used to support biofuels due
203 to their higher production costs compared to fossil fuels. In the EU, biofuels are subsidized in
204 three ways: (1) agricultural subsidies of 45 euros per ha of energy crop that are granted within
205 the framework of the EU Common Agricultural Policy ; (2) a total or partial exemptions on
206 the taxes that account for approximately half of the final price of gasoline and diesel; (3)
207 obligatory mandates that fuels sold at the pump contain a given percentage of biofuels
208 (Universitat Autònoma de Barcelona, 2007). Additionally, indirect economic support is
209 provided when sugar produced for ethanol is not included in the EU sugar quotas. The
210 rationale of these economic incentives is based on the assumption that biofuels are a
211 renewable source of energy that can reduce greenhouse gas emissions from 30-35% for first
212 generation biofuels and up to 90% with second generation biofuel technologies currently
213 under development (European Commission, 2007a). In contrast, Plieninger & Bens (2008)
214 point out that current pathways of biofuel production have low to negative net energy outputs
215 (see Pimentel & Patzek, 2005) and are not entirely renewable (Dewulf, VanLangenhove, &
216 VanDeVelde, 2005). In addition, Crutzen, Mosier, Smith, & Winiwarter (2008) show that
217 emissions of N₂O (a greenhouse gas with global warming potential 296 times larger than an
218 equal mass of CO₂; see IPCC, 2001) associated with nitrogen fertilization of bioenergy crops
219 are 3 to 5 times larger than assumed under current lifecycle assessments of biofuels.

220 According to Crutzen and colleagues, when this extra N₂O emission from biofuel production
221 is included in a lifecycle assessment, it becomes clear that first generation biofuels may
222 actually exacerbate global warming or at best are neutral. For this and other reasons, including
223 the presence of significant natural resource constraints (e.g. water) as opposed to all other
224 renewable sources of energy (Martinot, Dienst, Weiliang, & Qimin, 2007), the term
225 *renewable* with respects to biofuels should be used with greater caution than it is currently the
226 case.

227 The progress report on the Biofuels Strategy (European Commission, 2007a) examines
228 the economic and environmental impacts of biofuels in the EU based on a scenario of 14%
229 blending by 2020. The report states that achieving this 14% share of biofuel by 2020
230 primarily through domestic production would lead to increased employment (144,000 new
231 jobs) and GDP growth of +0.23%. However, a central conflict in expanding biofuel

232 production in the EU consists of interactions with other land uses and especially with nature
233 conservation (Plieninger & Bens, 2008). This is evident when considering Italy as an
234 example. Italy is a net importer of all categories of food except fruit and wine, and hence
235 meeting even only the 5.75% blending target of the European Biofuels Directive is unrealistic
236 as approximately one-third of Italian agricultural land would have to be diverted (Russi,
237 2008). A 14% blending scenario is therefore very unlikely, and hence the bioenergy crops
238 would mostly have to be grown elsewhere. The Biofuels Strategy suggests this be done in
239 eastern Europe and Africa where biomass production has potential for generating economic
240 growth and employment, and where a comparative advantage exists in the production of
241 biofuels due to lower labor costs and higher resource availability (European Commission,
242 2007b). But no matter where the required large-scale production of biofuel crops occurs, it
243 will involve industrialized (and polluting) agricultural techniques, and will shift the
244 environmental impacts of energy farming to less-developed countries outside the EU (Russi,
245 2008) under the guise of rural development policies and aid.

246 The 2007-2013 Seventh Framework Programme for Research and Technological
247 Development (FP7; <http://cordis.europa.eu/fp7>) that coordinates and funds most of EU
248 research activities, strongly supports the development of biofuels in the EU, and has given
249 high priority to research on the “bio-refinery” concept and to second generation biofuels
250 (European Commission, 2007b). With over 50 billion euros, FP7 is the largest single public
251 research program in the world (CORDIS, 2008) and a substantial share of the funding is
252 targeted to biofuel-related projects, this despite the substantial controversy that exists on the
253 sustainability of this energy path. The FP7 program supports cooperation between
254 universities, industry, research centers and public authorities throughout the EU and beyond.
255 Among the collaborative research themes on biofuels supported by FP7’s cooperative “bio-
256 refinery joint calls” are: (a) “Nanosciences, nanotechnologies, materials and new production
257 technologies”, (b) “Energy”, (c) “Food, agriculture and fisheries, and biotechnology”, and (d)
258 “Environment (including climate change)”. Additional support for biofuel research is
259 provided via calls open for projects dealing with the so-called “knowledge-based bio-
260 economy” (see FP7 website for details). This level of funding shows substantial bias for
261 biofuels despite controversial scientific evidence (Field et al., 2008) that is compounded by
262 pre-allocation of research funds without peer-review of proposals. The FP7 cooperative
263 research program also funds an industry-led “Biofuel technology platform”
264 (<http://www.biofuelstp.eu/>) to develop and implement a Strategic Research Agenda (SRA).

265 SRA is heavily influenced by private capitals pursuing profit and not necessarily public
266 interest, and includes a vision that up to 25% of road transport fossil fuels will be substituted
267 by biofuels by 2030 (see technology platform website); an unrealistic vision according to
268 current science (Moore, 2008).

269 A root problem is that the biofuel industry has not been dominated by market forces
270 but rather by politics and the interests of a few large companies (Ford Runge & Senauer,
271 2007). The 500 million dollars of funding by the oil firm British Petroleum (BP) to establish
272 the Energy Biosciences Institute (<http://www.energybiosciencesinstitute.org/>) at the
273 University of California, Berkeley provides additional evidence of the commercial
274 attractiveness of the biofuel sector (Cockerill & Martin, 2008), and parallels the FP7 practice
275 of diverting public research infrastructure and research to support private interests. This
276 public policy bias is disputed by analyses on potential sources of 100% renewable energy,
277 where only photovoltaic, wind, and solar thermal power, but not biofuels are included because
278 the latter has serious natural resource constraints (Martinot et al., 2007).

279

280 **A biopact between the North and the South**

281 The EU seeks to promote biofuels in developing countries in the tropics because
282 biomass productivity is highest and the production costs, notably for ethanol, are
283 comparatively low (European Commission, 2007b). Using Brazil as an example (for details
284 on the Brazilian biofuel industry, see: Wang, 2006; Goldemberg, 2008), the EU claims that
285 biofuel production in the developing South is more efficient and emits less greenhouse gases
286 compared to the developed North. The EU's Biofuels Strategy support for bioenergy crops in
287 developing countries (policy action n. 6) is not explicitly presented as a mean to overcome
288 domestic land base constraints in meeting biofuel blending targets, but rather its purported
289 purpose is to extend the benefits of biofuels to the developing world by creating additional
290 employment, reducing their energy import bills, and opening up potential export markets –
291 particularly in sugar-producing countries affected by the 2006 EU sugar reform that
292 substantially reduced economic incentives to sugar production in the EU and in other
293 commercially-associated countries in the developing world. Moreover, the Strategy's policy
294 action n. 5 encourages preferential market access conditions for imported bioethanol,
295 especially from African, Caribbean, and Pacific countries (ACP) under the Cotonou
296 Cooperation Agreement with the EU. While no bioenergy pact to meet European blending

297 targets by growing biofuel crops in the developing countries with supposed economic benefits
298 (see <http://www.biopact.com>) was officially endorsed under the Cotonou agreement, is
299 readily apparent to impartial observers that it clearly emerges from the policy goals set forth
300 in the EU Biofuels Strategy (Schnepf, 2006).

301 An insufficient land base in the EU to meet biofuel goals is the underlying rationale
302 for the proposed North-South bioenergy pact that seeks to grow biofuel crops for the EU in
303 the developing world (most notably in sub-Saharan Africa) (Mathews, 2007). However, the
304 shift from a fossil fuel to a biofuel system may cause substantial changes in how land is
305 impacted, and result in a number of ethical trade-offs related to land use change (Jordaan,
306 2007). While the EU Biofuels Strategy stems from the highly controversial claim that biofuels
307 can reduce greenhouse gas emissions and contribute to the mitigation of detrimental climate
308 change effects on ecosystems, in reality the land-use change associated with large-scale
309 biofuel production have potential to devastate societies and ecosystems, especially in
310 developing countries. And as new data is produced, much of it questions the touted
311 greenhouse gas benefits making tropical biofuels less and less green (Kintisch, 2008).

312 A socio-economic analysis by Rajagopal, Sexton, Roland-Holst, & Zilberman (2007)
313 acknowledged that biofuels are a land and water intensive technology (Berndes, 2002) that
314 subtracts land from food production and environmental preservation, and has greater impact
315 on food prices than on energy prices. The analysis points out that the effect of rising grain
316 prices related to the expansion of first generation biofuel crops will be felt most acutely in
317 developing countries, where grain comprises a larger share of the food budget. First
318 generation biofuels from sugarcane, cereal grains and oilseeds threaten directly world food
319 security (Cassman, 2007; Gressel, 2008). Moreover, the scenario analysis by Msangi, Sulser,
320 Rosegrant, & Valmonte-Santos (2007) reveals that second generation biofuels obtained from
321 nonfood crops will likely also have a negative impact on food price, hunger and malnutrition
322 especially in developing countries. Further expansion of biofuel productions will ultimately
323 mean cars for the rich and starvation for the poor (Rajagopal et al., 2007), not to mention the
324 almost completely overlooked issue of increased water scarcity due to additional biofuel
325 biomass production (Junginger, Faaij, Rosillo-Calle, & Wood, 2006; de Fraiture et al., 2008).

326 The use of marginal lands for biofuel plantations may engender greater insecurity for
327 the landless poor in developing countries who currently rely on degraded lands for their fuel
328 wood and fodder needs (Rajagopal, 2008) and is ecologically unsustainable (Gutierrez &
329 Ponti, this issue). Indirect socioeconomic impacts of biofuel production resulting from the

330 subtraction of marginal lands actively used by a range of communities for subsistence may
331 add to the direct and highly debated detrimental environmental effects (Kammen et al., 2008).
332 Cotula, Dyer, & Vermeulen (2008) indicate that the spread of commercial biofuel production
333 in Africa (e.g. Tanzania and Mozambique), Latin America (e.g. Colombia and Brazil), and
334 Asia (e.g. India, Indonesia, and Papua New Guinea) will result in the poor losing access to
335 indispensable land with major negative effects on local food security and on economic, social
336 and cultural dimensions of land use. There are also concerns that biofuels will adversely
337 affect the diversity of foods and increase widespread malnutrition (Karen, 2007). The
338 emerging question is how can Europe expand biofuel use without creating negative social and
339 ecological impacts in developing countries and emerging economies in the absence of social
340 and ecological standards (Foerster, Scholz, & Faaij, 2005).

341

342 **Transgenic crops and biofuels**

343 The need for transgenic technologies is often overlooked in the biofuels debate, but
344 they are becoming increasingly linked. Transgenic biofuel crops are expected to be essential
345 components for biofuel production because they appear to provide the quickest, most
346 efficient, and often the only way to convert plants to biofuel feedstocks (Gressel, 2008). The
347 EU Biofuels Strategy is prepared for large-scale use of biofuels and explicitly supports second
348 generation biofuels, particularly ethanol obtained from cellulosic crops (European
349 Commission, 2007b). The latter involves growing species such as the perennial grasses
350 switchgrass and *Miscanthus* in high-yielding monocultures; species that have not undergone
351 years of breeding let alone millennia of selective domestication (Gressel, 2008). To make
352 cellulosic ethanol a reality, genetic engineering is currently seen as the only way to maximize
353 the conversion of cellulose biomass to sugars (Lynd et al., 2008), as this and many other
354 polygenic traits desirable for a bioenergy crop are intractable to plant breeding (Gressel,
355 2008). Solutions to this problem are not easy even via genetic engineering using multiple
356 gene insertions (Karp & Shield, 2008). The main roadblock currently rendering unachievable
357 the industrial-scale production of ethanol from cellulosic biomass (Himmel et al., 2007) is
358 recalcitrance or natural resistance of the plant-cell wall to microbial and enzymatic
359 breakdown. Plants have evolved an extremely complex array of structural and chemical
360 devices to protect them from external assault (e.g. epidermal tissue, vascular bundles, thick
361 wall tissues, and molecular arrays of microfibrils and polymers) that make the current

362 technology of biomass conversion to biofuel costly, complex, and energy intensive (Koonin,
363 2006). The challenge then is to replace oil and coal that took nature hundreds of millions of
364 years to produce with equivalents that are produced in the laboratory in a matter of hours
365 (Moore, 2008). These difficulties pose an obvious dilemma for society: because first
366 generation biofuels are unsustainable, should society support the development of second
367 generation biofuels that will likely still have a large negative impact on food price, hunger and
368 malnutrition especially in developing countries? Furthermore, only multinational agribusiness
369 corporations will profit from biofuel technologies, and even more so if the technology
370 package includes genetic engineering.

371 Delgado (2008) in a sociopolitical analysis in Latin America suggests that biofuels and
372 transgenic crops are becoming part of the same technology package sponsored by global
373 agribusiness companies. Delgado argues that like transgenic food crops, biofuel crops bring
374 about a host of scientific uncertainties and a long list of neglected socioeconomic and
375 environmental impacts and implications. In both the case of biofuels and transgenic crops, a
376 clash of paradigms is evident between the large-scale high-input industrial monocultures
377 pushed by global agribusiness' technology packages and the right of small farmers for access
378 to land, water and seed, and to the preservation of local knowledge as the basis for food
379 sovereignty and national sovereignty. The germane question Delgado poses is: if during the
380 last 20 years, 300 million hectares of monocultures – mainly oil palm, rubber tree, soybean
381 and sugarcane – were planted at the expense of forest worldwide, will transgenic bioenergy
382 monocrops be an exception to this exploitation trend? The answer is clearly no. Cellulosic
383 conversion processes will demand uniform feedstocks that will translate into high-input and
384 environmentally destructive biofuel monocultures that are unlikely to be sustainable (Palmer
385 et al., 2007; Gutierrez & Ponti, this issue). As Palmer points out in his letter to the journal
386 *Science*, increase in agroecosystem uniformity and modification of evolved cell wall structure
387 (both required for cellulosic conversion) will lead to crop standings with an unprecedented
388 susceptibility to structural and pest failure. Despite the absence of a supporting scientific
389 evidence, biofuels are currently being promoted in Europe as a bridging technology to
390 cellulosic ethanol that for the moment is a bridge to nowhere (Morrow, 2008) because the
391 development of cost-effective motor-fuel production from biomass conversion is unlikely be
392 realized before 2030 (Himmel et al., 2007) if then. While this kind of comment may reflect a
393 degree of hyperbole, Morrow observes, there are serious (*economic, ecological and social*)
394 concerns that need to be answered before we embark upon costly bioenergy programs;

395 concerns that cannot be allayed by application of elegant yet simplistic genetic engineering
396 technologies.

397 Scientific uncertainty, biosafety concerns, and eco-social impacts of biofuels parallel
398 and compound those of transgenic crops. Biotechnologists should have learned from
399 agricultural applications that it is a huge mistake to underestimate biosafety concerns
400 (Stewart, 2007), for despite assurances that it is unlikely that genes will flow, they eventually
401 will. Agricultural biotech is trying to avoid the biosafety concerns that plagued food crops by
402 embracing supposedly less problematic nonfood crops for biofuel production. Nevertheless,
403 we must keep in mind that biosafety problems of transgenic crops go well beyond the food
404 domain to include the ecosystem at large (Ponti, 2005), and this also fully applies to
405 genetically-engineered second-generation biofuel crops. For example, several traits deemed
406 characteristics of an ideal bioenergy crop such as low maintenance to biomass production
407 energy ratio, efficient light, water and nutrient use, C₄ photosynthesis, nutrient translocation
408 to storage organs during the non-growing season, and perennial growth, are also common
409 features of invasive plants (Raghu et al., 2006). Therefore, genetic engineering of perennial
410 grasses and trees for biofuel production could generate plants with very higher invasive
411 potential that may just contribute to the already raging plague of invasive species (Hoenicka
412 & Fladung, 2006; Raghu et al., 2006).

413 Biosafety concerns exist also for fast-growing trees such as poplars (*Populus* spp.) and
414 willows (*Salix* spp.) that are currently studied as potential source of cellulosic biofuel
415 production (Karp & Shield, 2008). Genetic engineering is crucial to alter lignin content in
416 trees (Sticklen, 2006; Groover, 2007) as this is the only way to get accelerated breeding rates
417 comparable to that for annual crop plants (Hoenicka & Fladung, 2006). Valenzuela, Balocchi,
418 & Rodríguez (2006) reviewed over 200 field trials with genetically engineered forest trees of
419 which more than 50% were with *Populus* species with the main target traits being herbicide
420 tolerance (31%), marker genes (23%), and insect resistance (14%). The only recorded
421 commercial tree plantation is of insect resistant Bt *Populus nigra* in 2003 in China. In their
422 review, Valenzuela et al. highlighted serious biosafety issues with transgenic trees including
423 gene flow to related species. They noted that introgression of traits such as low lignin content
424 that are controlled by multiple genes are less likely to occur compared to single-gene traits
425 (e.g. herbicide resistance and insect resistance) making it more difficult to model the impacts
426 of tree-based biofuel production on biodiversity (Valenzuela et al., 2006). Compared to
427 annual crops, trees have specific and more serious biosafety concerns related to gene flow

428 (González-Martínez, Robledo-Arnuncio, & Smouse, 2005): (a) trees have long life spans and
429 may propagate vegetatively, and this extends the time scale over which introgression can
430 occur making the assessment of the long-term effects difficult; (b) recurrent gene flow over
431 several reproductive cycles may be sufficient to overwhelm fitness disadvantages of the
432 hybrid seed (González-Martínez et al., 2005); (c) trees outcross considerably more than
433 annual crops. Transgene flow from insect-resistant poplars into native poplar stands has been
434 shown to occur (Di Fazio, 2002), it increases over time becoming significant over 100 years
435 (9.50%), and may confer growth benefits in the wild. Vertical gene transfer can be limited by
436 induction of sterility in transgenic trees, but the gene flow cannot currently be completely
437 controlled (Kikuchi, Watanabe, Tanaka, & Kamada, 2008). Other biosafety concerns of
438 transgenic trees include transgene instability, horizontal gene flow, impact on non-target
439 organisms, and effects on non-targeted characteristics. Scientific uncertainties and the relative
440 lack of baseline information about forest ecosystems compared to agroecosystems, which are
441 still poorly understood, poses great challenges to the existing methods of analyzing risks and
442 benefits of transgenic trees (Hoenicka & Fladung, 2006) including those grown for bioenergy
443 purposes.

444

445 **Conclusions**

446 Overall, our review confirms concerns about EU biofuels policy outlined in a recent
447 statements by the EU Environment Commissioner admitting important unforeseen problems
448 (UK House of Commons, 2008). EU policy support for biofuels stems from the assumption
449 that they would mitigate climate change – a rationale used to divert considerable public
450 resources for biofuel research despite the fact that first generation biofuels have provided
451 minimal contributions (if any) to carbon emission mitigation and have increased food prices
452 and threatened natural habitat by diverting them into energy crop production (Rajagopal et al.,
453 2007). In addition, second generation biofuels obtained from nonfood crops will likely also
454 have a negative impact on food price, hunger and malnutrition especially in developing
455 countries (Msangi et al., 2007; Nash, 2007), and on the environment at large (Scharlemann &
456 Laurance, 2008). Since there is essentially no current environmental (Farrell et al., 2006) and
457 social regulation (Delgado, 2008) of biofuels, how will the EU reach its biofuels policy
458 targets without accessing the bioenergy cropland in developing countries and especially
459 Africa (i.e. the biopact) and consequently undermining fragile societies and ecosystems?

460 Policy experts agree that extensive biofuel production in Africa will intensify agriculture with
461 consequent ecological and social impacts on land use changes (Lovett, 2007) and habitat and
462 biodiversity losses (Koh, 2007; Groom, Gray, & Townsend, 2008) with concomitant loss of
463 ecosystem services (Alcamo et al., 2005). Furthermore, it is an EU policy that future biofuel
464 options must include transgenic crops (Gressel, 2008) that are themselves deemed unfit for
465 EU's multifunctional vision of sustainable agriculture for environmental, economic and
466 socioeconomic reasons (Ponti, 2005), and that also have potential for ecosocial disruption in
467 developing countries (Gutierrez, 2005). In addition, the yield and range of biofuel crops in
468 Europe may be limited by climate change, with fewer crop species available (Tuck,
469 Glendinning, Smith, House, & Wattenbach, 2006), negative impacts on crop yields (Lobell &
470 Field, 2007) and greater yield variability (Schmidhuber & Tubiello, 2007).

471 As with many technology-driven self-justifying options for agriculture (e.g. transgenic
472 crops), the first question we should ask about biofuels is: are they needed? This question has
473 been implicitly silenced by switching the focus to technical issues, when in fact a broader
474 ecological view of the problems would avoid diverting already scarce public R&D resources
475 to important but ancillary technology options that do not mitigate climate change.

476

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- 702

703 **Tables**

704 **Table 1.** Biofuels production 2006: top 15 countries plus the EU and their share of ethanol
 705 and biodiesel (%) of global production (billion of liters in parenthesis) (modified from
 706 REN21, 2008).

Rank	Country	Fuel ethanol	Biodiesel
		% (billion liters)	
1	United States	46.9	14.2
2	Brazil	44.9	1.2
3	Germany	1.3	46.7
4	China	2.6	1.2
5	France	0.6	10.5
6	Italy	0.3	9.5
7	Spain	1.0	2.3
8	India	0.8	0.5
9	Canada	0.5	0.8
9	Poland	0.3	2.2
9	Czech Republic	0.1	2.5
9	Colombia	0.5	1.0
13	Sweden	0.4	–
13	Malaysia	–	2.3
15	United Kingdom	–	1.8
–	EU Total	4.1	75.0
–	World Total	100.0 (39)	100.0 (6)

707

708

709 **Table 2.** Biofuel blending targets in selected countries (adapted form Coyle, 2007).

Country	Feedstocks		2007 production forecast (million liters)		Blending targets
	<i>Ethanol</i>	<i>Biodiesel</i>	<i>Ethanol</i>	<i>Biodiesel</i>	
Brazil	sugarcane	soybeans, palm oil, castor seed	18,804.3	242.7	25 % blending ratio of ethanol with gasoline (E25) in 2007; 2 percent blend of biodiesel with diesel (B2) in early 2008, 5 % by 2013.
Canada	corn, wheat, straw	animal fat, vegetable oils	1,000.3	96.1	5 % ethanol content in gasoline by 2010; 2 % biodiesel in diesel by 2012.
China	corn, wheat, cassava, sweet sorghum	used and imported vegetable oils, jatropha	1,600.4	113.2	Five provinces use 10 % ethanol blend with gasoline; five more provinces targeted for expanded use.
EU	wheat, other grains, sugar beets, wine, alcohol	rapeseed, sunflower, soybeans	2,303.5	6,557.3	5.75 % biofuel share of transportation fuel by 2010, 10 % by 2020.
India	molasses, sugarcane	jatropha, imported palm oil	400.2	45.4	10 % blending of ethanol in gasoline by late 2008, 5 % biodiesel blend by 2012, and 20% in 2020
Indonesia	sugarcane, cassava	palm oil, jatropha	–	407.7	10 % biofuel by 2010.
Malaysia	none	palm oil	–	328.6	5 % biodiesel blend used in public vehicles; government

					plans to mandate B5 in diesel-consuming vehicles and in industry in the near future.
Thailand	molasses, cassava, sugarcane	palm oil, used vegetable oil	300.2	260.4	Plans call for E10 consumption to double by 2011 through use of price incentives; palm oil production will be increased to replace 10 % of total diesel demand by 2012.
United States	primarily corn	soybeans, other oilseeds, animal fats, recycled fats and oil	24,605.6	1,682.9	Use of 28.4 billion liters of biofuels by 2012; proposals to raise renewable fuel standard to 136,3 billion liters (mostly from corn and cellulose) by 2022.

710

711 **Table 3.** Comparison of commercial first and second-generation biofuels at current
 712 technological development (modified from Moore, 2008 based on present review).

	First generation	Second generation
<i>Biomass source</i>	Produced only from the primary crop product (e.g. grain, sugar, or oil-seed component of the plant); the rest of the plant is not used for fuel	Produced from whole plant, or crop residues, forestry residues or wood processing waste
<i>Fuel source</i>	Substance converted into fuel: starch, sugar and oil	Substance converted into fuel: mainly lignocellulose
<i>Crops</i>	Mostly annuals (e.g. corn, wheat, sugar cane and sugar beet, rapeseed, oil palm, soybean)	Perennials (e.g. switchgrass, <i>Miscanthus</i> , coppice willow, alfalfa)
<i>Processing</i>	<ol style="list-style-type: none"> 1. Carbohydrate contents of grains, and sugars (cane and beet), are easily fermented to ethanol with low technology apparatus 2. Oils are processed into automotive grade fuel (Both methods are of relatively low monetary cost)	Entire plant material is converted into fuel. Main methods: <ol style="list-style-type: none"> 1. Fermentation, producing ethanol and methane, leaving solid waste for pelleting and burning, e.g. in heat-and-power stations (relatively cheap method) 2. High-temperature/high pressure decomposition and catalytic synthesis processes (relatively expensive method)
<i>Land</i>	Crops are grown on existing agricultural land by existing farmers. This land is easily defined and worked: yields are predictable	Crops can in principle be grown on less valuable land that is not suitable for food/feed agriculture. But it is unlikely that significant amounts of fuel could ultimately be made from such ‘marginal’ and low-quality land
<i>Food issues</i>	Crops are directly in competition with food, hence leading to food security and price-linkage issues	Crops cannot not be used for food, but could still compete with food crops for land

<i>Inputs</i>	Crops are energy-intensive: for example need large quantities of fertilisers, pesticides, and machinery	Crops in principle need less fertiliser and are less energy-intensive but the ability to produce biofuel feedstocks abundantly on unproductive lands remains questionable
<i>Energy yield</i>	Energy balances ^a tend to be modest or even negative due to the high-input nature of food-crop agriculture	Energy balances ^a were found to be both positive and negative. Successful industrialization of cellulosic processing remains to be demonstrated.
<i>Prospects</i>	Generally doomed, contribute to global warming due to N ₂ O emissions	Development tightly linked to genetic engineering, and deployment on a large scale threatens food security and exacerbates climate change

713 ^aEnergy balance is the per unit mass ratio of total energy released on burning the fuel to total energy
 714 inputs in producing the fuel (from plant seed production to fuel distribution).

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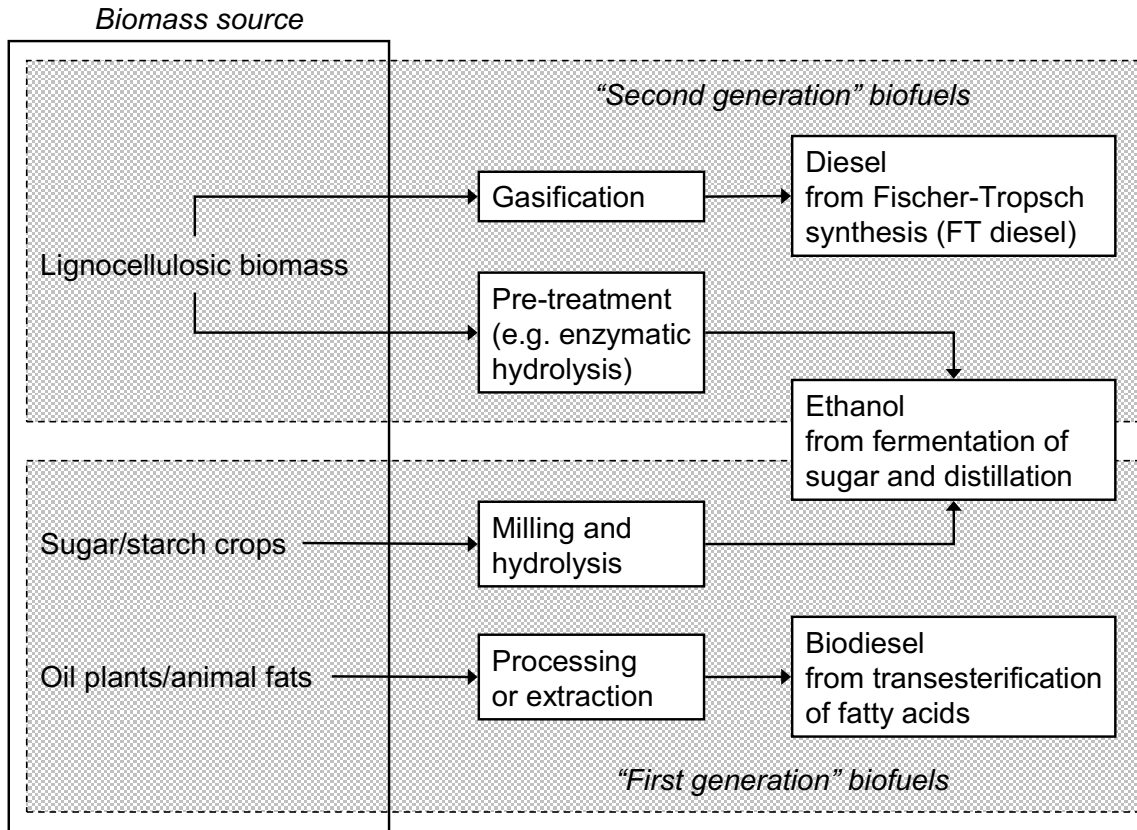
716 **Figure Legends**

717 **Figure 1.** Pathways of biofuels production (modified from Doornbosch & Steenblik, 2007).

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719 **Figures**

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Figure 1